

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

Pages **Location/Contents**

BOX 21: Misc. Financial Publications: U.S. INSTITUTIONS (Some References to Minsky)

Comptroller of the Currency, Administrator of National Banks, U.S. Dept. of the Treasury, Washington, DC.

- 78 **FOLDER:** Nigel Ogilvie (Financial Economist). *Home Equity Lending At Commercial Banks: A Banking Product Evaluation*. Comptroller of the Currency, Administrator of National Banks, U.S. Dept. of the Treasury, Washington, DC. Aug. 1988.

Federal Reserve Bank of Chicago, Chicago, Il.

- 38 **FOLDER:** George G. Kaufman (Loyola University, Chicago, and FRB Chicago). FRB of Chicago: Staff Memoranda. A Series of Occasional Papers in Draft Form Prepared by Members of the Research Dept. for Review and Comment. *Impact of Deregulation on the Mortgage Market*. For presentation at Symposium on Housing Finance in the Eighties, Federal National Mortgage Assoc., Washington, DC, Feb. 10-11, 1981. [“In sharp contrast to the 1970s, which may be viewed as a decade of regulation, the 1980s may become a decade of deregulation. At least the decade got off to a good start in this direction. Nineteen-eighty was the most significant year for proposed, if not actual, deregulation in the financial sector in modern U.S. history...The keystone to deregulation in the financial sector was the enactment of the Depository Institution Deregulation and Monetary Control Act of 1980 (DIDMCA). DIDMCA is the most important and far-reaching legislation since the Glass-Steagall (Banking Act) of 1933. It incorporates many if not most of the “reforms” proposed in the post-World War II era by students of our financial system... (p. 1)”]
- 45 **FOLDER:** John J. Di Clemente (Regulatory Economist, FRB Chicago). FRB of Chicago: Staff Memoranda. A Series of Occasional Papers in Draft Form Prepared by Members of the Research Dept. for Review and Comment. *The Meeting of Passion and Intellect: A History of the Term ‘Bank’ in the Bank Holding Company Act*. [19]83-1. [Note by Bruce MacMillan 10.17.08: This study concerns the U.S. Federal Bank Holding Company Act (BCHA) of 1956]
- 46 **FOLDER:** Harvey Rosenblum (V.P. and Assoc. Dir. Research, FRB Chicago), M. Kathleen O’Brien, (Attorney, FRB Chicago) and John J. Di Clemente (Regulatory Economist, FRB Chicago). FRB of Chicago: Staff Memoranda. A Series of Occasional Papers in Draft Form Prepared by Members of the Research Dept. for Review and Comment. *On Banks, NonBanks and Overlapping Markets: A Reassessment of Commercial Banking As a Line of Commerce*. Reprinted by permission of Tennessee Law Review Assoc., from *Tennessee Law Review*, Vol. 51, pp. 401-443, 1984. SM-[19]85-1.
- 54 **FOLDER:** Thomas G. Fischer (Staff Attorney, FRB Chicago), William H. Gram (V.P., General Counsel, and Secretary, FRB Chicago), George G. Kaufman (Economic Advisor and V.P., FRB Chicago) and Larry R. Mote (Economic Adviser and V.P., FRB Chicago). FRB of Chicago: Staff Memoranda. A Series of Occasional Papers in Draft Form Prepared by Members of the Research Dept. for Review and Comment. *The Securities*

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

Activities of Commercial Banks: A Legal and Economic Analysis. Reprinted by permission of Tennessee Law Review Assoc., from *Tennessee Law Review*, Vol. 51, pp. 467-518, 1984. SM-[19]85-2.

- 20 **FOLDER:** George G. Kaufman (Loyola University, Chicago, and FRB Chicago). FRB of Chicago: Staff Memoranda. A Series of Occasional Papers in Draft Form Prepared by Members of the Research Dept. for Review and Comment. *Banking Risk In Historical Perspective*. SM-[19]86-3.
- 32 **FOLDER:** David Aschauer (FRB Chicago). FRB of Chicago: Staff Memoranda. A Series of Occasional Papers in Draft Form Prepared by Members of the Research Dept. for Review and Comment. *Is Public Expenditure Productive?* SM-[19]88-7.

Federal Reserve Bank of New York, New York, NY.

- 47 **FOLDER:** Anthony M. Solomon (President, FRB of New York), "Banking Deregulation - Where Do We Go From Here?", pp. 1-5, *FRB of New York: Quarterly Review*, autumn 1984, Vol. 9, No. 3. Remarks of Anthony M. Solomon, at the National Bankers Assoc., Wed. Oct. 17, 1984.
- 76 **FOLDER:** E. Gerald Corrigan (President, FRB of New York), "A Look at the Economy and Some Banking Issues", pp. 1-6, *FRB of New York: Quarterly Review*, spring 1985, Vol. 10, No. 1. Remarks of E. Gerald Corrigan, before the 57th Annual Mid-Winter Meeting of the New York State Bankers Assoc., Thurs. Jan. 31, 1985.
- 14 **FOLDER:** E. Gerald Corrigan (President, FRB of New York). *Remarks by E. Gerald Corrigan Before Group of Thirty, Washington, DC, Sept. 25, 1989*. [1 copy of original draft]

Federal Reserve Bank of Boston, Boston, Ma.

- 60 **FOLDER:** Various authors. *New England Economic Review*, Nov./Dec. 1985. Boston, Ma: FRB of Boston.
- 58 **FOLDER:** Various authors. *New England Economic Review*, Jan./Feb. 1986. Boston, Ma: FRB of Boston.

Federal Reserve Bank of Kansas City, Kansas City, Mo.

- 33 **FOLDER:** Douglas K. Pearce, "Rising Household Debt in Perspective", pp. 3-17, *FRB of Kansas City: Economic Review*, July/Aug. 1985, Vol. 70, No. 7.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 345 **FOLDER:** FRB of Kansas City. *Monetary Policy Issues In the 1990s: A Symposium Sponsored by The FRB of Kansas City*. Jackson Hole, Wyoming. Aug. 30 - Sept. 1, 1989.

Federal Reserve Bank of Cleveland, Cleveland, Oh.

- 4 **FOLDER:** John B. Carlson (Economist, FRB Cleveland), "Debt Growth and the Financial System", pp. 1-4, *FRB of Cleveland: Economic Commentary*, Oct. 15, 1986.

Federal Reserve Bank of Minneapolis, Minneapolis, Mn.

- 7 **FOLDER:** Neil Wallace (Adviser, Research Dept., FRB of Minneapolis, and Prof. of Economics, Univ. of Minnesota), "A Legal Restrictions Theory of the Demand for 'Money' and the Role of Monetary Policy", pp. 1-7, *FRB of Minneapolis Quarterly Review*, Vol. 7, No. 1, winter 1983. [1 original publication]

Interagency Task Force On Small Business Finance

- 42 **FOLDER:** Bernard Shull (Prof. of Economics, Hunter College of the City University of New York). *Changes in Commercial Banking Structure and Small Business Lending*. Board of Governors of the FRS (U.S.); FDIC; United States. Bureau of the Census; Interagency Task Force on Small Business Finance (U.S.); United States. Office of the Comptroller of the Currency; United States. Small Business Admin. Dec. 1981.

National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass.

- 29 **FOLDER:** Benjamin M. Friedman. *Survey Evidence on the 'Rationality' of Interest Rate Expectations*. Working Paper No. 261. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., July 1978.
- 26 **FOLDER:** Benjamin M. Friedman. *How Important Is Disaggregation In Structural Models Of Interest Rate Determination?* Working Paper No. 294. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Nov. 1978.
- 27 **FOLDER:** Benjamin M. Friedman. *Interest Rate Expectations Versus Forward Rates: Evidence From an Expectations Survey*. Working Paper No. 295. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Nov. 1978.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 27 **FOLDER:** Christopher A. Sims. *Comparison of Interwar and Postwar Cycles: Monetarism Reconsidered*. Working Paper No. 430. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Jan. 1980.
- 60 **FOLDER:** Benjamin M. Friedman. *Effects of Shifting Savings Patterns on Interest Rates and Economic Activity*. Working Paper No. 587. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Dec. 1980.
- 52 **FOLDER:** Benjamin M. Friedman. *The Roles of Money and Credit in Macroeconomic Analysis*. Working Paper No. 831. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Dec. 1981.
- 70 **FOLDER:** Benjamin M. Friedman. *Pension Funding, Pension Asset Allocation and Corporate Finance: Evidence From Individual Company Data*. Working Paper No. 957. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Aug. 1982.
- 44 **FOLDER:** Alan J. Auerbach. *Real Determinants of Corporate Leverage*. Working Paper No. 1151. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., June 1983.
- 23 **FOLDER:** Richard H. Clarida and Benjamin M. Friedman. *The Behavior of U.S. Short-Term Interest Rates Since October 1979*. Working Paper No. 1273. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Feb. 1984.
- 84 **FOLDER:** Benjamin M. Friedman. *Money, Credit and Interest Rates in The Business Cycle*. Working Paper No. 1482. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Aug. 1984.
- 40 **FOLDER:** Andrew B. Abel. *A Stochastic Model of Investment, Marginal q and The Market Value of the Firm*. Working Paper No. 1484. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Oct. 1984.
- 47 **FOLDER:** Benjamin M. Friedman. *The Value of Intermediate Targets In Implementing Monetary Policy*. Working Paper No. 1487. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Nov. 1984.
- 50 **FOLDER:** Benjamin M. Friedman. *Implications of Government Deficits For Interest Rates, Equity Returns and Corporate Financing*. Working Paper No. 1520. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Dec. 1984.
- 48 **FOLDER:** Benjamin M. Friedman. *Crowding Out or Crowding In? Evidence on Debt-Equity Substitutability*. Working Paper No. 1565. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Feb. 1985.
- 46 **FOLDER:** Bennett T. McCallum. *Bank Deregulation, Accounting Systems of Exchange, and The Unit of Account: A Critical Review*. Working Paper No. 1572. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., March 1985.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 42 **FOLDER:** Jorge Braga de Macedo and David Meerscham. *Exchange Rate Flexibility and The Transmission of Business Cycles*. Working Paper No. 1573. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., March 1985.
- 45 **FOLDER:** Robert P. Flood and Robert J. Hodrick. *Real Aspects of Exchange Rate Regime Choice With Collapsing Fixed Rates*. Working Paper No. 1603. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., April 1985.
- 51 **FOLDER:** Robert J. Shiller. *Conventional Valuation and The Term Structure of Interest Rates*. Working Paper No. 1610. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., April 1985.
- 60 **FOLDER:** Alan S. Blinder. *Credit Rationing and Effective Supply Failures*. Working Paper No. 1619. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., May 1985.
- 52 **FOLDER:** Fumio Hayashi. *Tests For Liquidity Constraints: A Critical Survey*. Working Paper No. 1720. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Oct. 1985.
- 47 **FOLDER:** Benjamin M. Friedman. *Implications of the U.S. Net Capital Inflow*. Working Paper No. 1804. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Jan. 1986.
- 85 **FOLDER:** Benjamin M. Friedman. *Targets and Instruments of Monetary Policy*. Working Paper No. 2668. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Ma, July 1988.
- 68 **FOLDER:** Benjamin M. Friedman and Kenneth N. Kuttner. *Time-Varying Risk Perceptions and the Pricing of Risky Assets*. Working Paper No. 2694. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Aug. 1988.
- 43 **FOLDER:** Benjamin M. Friedman and Kenneth N. Kuttner. *Money, Income and Prices After The 1980s*. Working Paper No. 2852. *NBER Working Paper Series*. National Bureau of Economic Research, Inc. (NBER), Cambridge, Mass., Feb. 1989.
- 61 **FOLDER:** Victor Zarnowitz (Univ. of Chicago and NBER), "Recent Work on Business Cycles in Historical Perspective: A Review of Theories and Evidence", NBER Reprint No. 643. [Originally published as NBER Working Paper No. 1503.]. Reprinted with permission from, *Journal of Economic Literature*, Vol. XXIII, June 1985, pp. 523-580.
- 20 **FOLDER:** Edward J. Kane. *Technological and Regulatory Forces in the Developing Fusion of Financial-Services Competition*. NBER Reprint No. 543. National Bureau of Economic Research, Inc. (NBER), Cambridge, Ma, July 1984.
- 28 **FOLDER:** Benjamin M. Friedman. *The Value of Intermediate Targets in Implementing Monetary Policy*. NBER Reprint No. 595. National Bureau of Economic Research, Inc. (NBER), Cambridge, Ma, July 1984.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 43 **FOLDER:** Michael D. Bordo, “The Impact and International Transmission of Financial Crises: Some Historical Evidence, 1870-1933”, NBER Reprint No. 757. [Originally published as NBER Working Paper No. 1606.]. Reprinted with permission from, *Rivista Di Storia Economica*, Second Series, Vol. 2, International Issue, 1985, pp. 41-78.
 [“...Recent fears of insolvency of major U.S. banks and the threat posed to world economic stability have raised considerable interest in the topic of financial crises and in financial crises of the past. This study presents historical evidence for six countries in the period 1870-1933 on the impact of financial crises on economic activity and on the international transmission of financial crises. Two approaches to financial crises predominate in the literature. The monetarist approaches of Friedman, Schwartz and Cagan, identifies financial crises with banking panics and views them as either producing or aggravating the effects of monetary contradiction. The second approach, associated with the work of (Hyman P.) Minsky and (Charles P.) Kindleberger and the seminal work of (Irving) Fisher, views financial crises as a key element of the upper turning point in the business cycle and as the inevitable consequence of the boom...”]
 [Note by Bruce MacMillan 10.23.08: This report includes many references to publications on financial crises by Prof. Minsky.]
- 45 **FOLDER:** Barry Eichengreen and Richard Portes, “Debt and Default in the 1930s: Causes and Consequences”, NBER Reprint No. 790. [Originally published as NBER Working Paper No. 1772.]. Reprinted with permission from, *European Economic Review*, Vol. 30, No. 3, June 1986, pp. 599-640.
- 43 **FOLDER:** Jeremy I. Bulow and Lawrence H. Summers, “A Theory of Dual Labor Markets with Application to Industrial Policy, Discrimination, and Keynesian Unemployment”, NBER Reprint No. 798. [Originally published as NBER Working Paper No. 1666.]. Reprinted with permission from, *Journal of Labor Economics*, Vol. 4, No. 3, Part 1, July 1986, pp. 376-414.
- 51 **FOLDER:** Benjamin M. Friedman, “Money, Credit and Interest Rates in the Business Cycle”, *NBER Reprint No. 800*. [Originally published as *NBER Working Paper No. 1482*.]. Reprinted with permission from, Robert J. Gordon, Ed. *The American Business Cycle: Continuity and Change*. Chicago, Il: Univ. of Chicago Press, 1986, pp. 395-438 and 456-458.
- 135 **FOLDER:** Robert E. Baldwin and J. David Richardson, Ed. *NBER Conference Report: Current U.S. Trade Policy: Analysis, Agenda, and Administration*. Cambridge, Mass.: National Bureau of Economic Research, Inc. (NBER), 1986. NBER Conference on Current Trade Issues. The Charles Hotel, Cambridge, Mass., Thurs. Aug. 8, 1985.
- 104 **FOLDER:** Robert E. Baldwin and J. David Richardson, Ed. *NBER Conference Report: Issues In The Uruguay Round*. Cambridge, Mass.: National Bureau of Economic Research, Inc. (NBER), 1988. NBER Conference “Issues In The Uruguay Round”. L’Enfant Plaza Hotel, Washington, DC, Fri. May 29, 1987, and The Charles Hotel, Cambridge, Mass., Aug. 14, 1987.
- 56 **FOLDER:** Benjamin M. Friedman, “Program Report: Financial Markets and Monetary Economics”, *NBER Reporter*, winter 1986/7. Cambridge, Mass.: National Bureau of Economic Research, Inc. (NBER).

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 48 **FOLDER:** Benjamin M. Friedman, "Program Report: Financial Markets and Monetary Economics", *NBER Reporter*, spring 1990. Cambridge, Mass.: National Bureau of Economic Research, Inc. (NBER).

Goldman Sachs, Inc., New York, NY- Economic Research Group

- 20 **FOLDER:** Goldman Sachs, Inc., Economic Research Group. *Financial Market Perspectives: Debt Without Disaster*. Dec. 1988/Jan. 1989. Goldman Sachs, Inc., New York, NY.

Lehman Brothers Kuhn Loeb, Inc., New York, NY. (and) Shearson Lehman Brothers, Inc. (An American Express Co.).

- 32 **FOLDER:** Allen Sinai et al. *Taxes, Saving, and Investment: Some Empirical Evidence*. Reprinted from *National Tax Journal*, Sept. 1983. Lehman Brothers Economic Studies No. 1.
- 3 **FOLDER:** Allen Sinai. *The "Wall Street" Logic and the Financial Markets*. Bulletin Series #10. Lehman Brothers Kuhn Loeb, Inc. Prepared for Scripps-Howard Newspapers and released on May 13, 1984.
- 16 **FOLDER:** Allen Sinai. *The Tax Reform Act of 1986: Winners and Losers*. Economic Studies Series, Dec. 1986, No. 22. Shearson Lehman Brothers, Inc. (An American Express Co.).

American Express Bank Ltd., London, England: The AMEX Bank Review

- 8 *The AMEX Bank Review*, May 29th, 1990, Vol. 17, No. 5. London, England, American Express Bank Ltd., 1990.

Salomon Brothers, Inc., New York, NY.

- 19 **FOLDER:** Henry Kaufman (Partner and Member of the Exec. Committee, Salomon Brothers, Inc.). *The American Credit Market: The Decade Ahead*. A Talk delivered...at The Waldorf-Astoria, New York, Fri., Nov. 19, 1976.
- 23 **FOLDER:** Henry Kaufman, James McKeon and David Foster. *Restoring Corporate Balance Sheets: An Urgent Challenge*. Salomon Brothers, Bond Market Research. July 21, 1980. [2 copies]

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 10 **FOLDER:** Henry Kaufman (Managing Dir. and Member of the Exec. Committee, Salomon Brothers, Inc.). *The Equity Market: a Long-Term View*. New York, NY: Salomon Brothers, Inc., Bond Market Research. A Talk before The Robeco Jubilee Symposium, on 'The Share in Future Society', Rotterdam, The Netherlands, Oct. 7, 1983.
- 10 **FOLDER:** Henry Kaufman (Exec. Dir., Salomon Brothers, Inc.). *The Integrity of Credit*. New York, NY: Salomon Brothers, Inc., Bond Market Research. A statement before The Committee on Energy and Commerce, Sub-Committee on Telecommunications, Consumer Protection and Finance, U.S. House of Representatives, Washington, DC, June 5, 1985.
- 10 **FOLDER:** Henry Kaufman (Exec. Dir., Salomon Brothers, Inc.). *Prolonging Economic Growth and Corporate Financial Risk Taking*. New York, NY: Salomon Brothers, Inc., Bond Market Research. A talk before the Chief Exec. Officers' Dinner. Presented by *Business Week* Executive Programs, Federal Hall, New York City, Sept. 10, 1985.
- 44 **FOLDER:** William C. Oliva, David M. Head (Salomon Brothers, Inc.: Mortgage Research). *Structure and Operations of Selected Federal Agencies and International Organizations*. New York, NY: Salomon Brothers, Inc., Dec. 1985.
 [Chapter 1. Government Sponsored Enterprises. Chapter 2. International Organizations. Chapter 3. Other Federally Related Institutions.]
- 4 **FOLDER:** Henry Kaufman. *Debt In a Difficult-to-Control Financial System*. New York, NY: Salomon Brothers, Inc., Bond Market Research. A talk before the U.S. Congressional Summit on Debt and Trade, The Waldorf-Astoria Hotel, New York, NY, Dec. 4, 1986.
- 23 **FOLDER:** David J. Hartzell, Andrea Lepcio, Julia D. Fernald and Susan Jordan. *Commercial Mortgage-Backed Securities: An Investors' Primer*. New York, NY: Salomon Brothers, Inc., Bond Market Research - Real Estate. May 1987.
- 30 **FOLDER:** Peter G. Brown, Thomas A. Zimmerman, K. Jeanne Person (Salomon Brothers, Inc.: Mortgage Research). *Introduction to Mortgages and Mortgage-Backed Securities*. New York, NY: Salomon Brothers, Inc., Sept. 1987.
 ["...The market has grown explosively since the first GNMA (Government National Mortgage Assoc.) pass-through was issued in 1970...Mortgage securities are the main vehicle whereby investors who are not mortgage originators participate in the mortgage market. The development of these securities has provided investors with standardized, liquid and easily financed instruments in a market that formerly offered only nonstandard and illiquid mortgage whole loans...(p. 1)"]
 [Note by Bruce MacMillan 10.24.08: This is a well-written overview of the history of mortgages and mortgage-backed securities, with projections for the future. The document is of particular interesting in light of the current sub-prime/adjustable rate mortgage (ARM) based economic crisis (2007/2008).]
- 14 **FOLDER:** K. Jeanne Person (Salomon Brothers, Inc.: Mortgage Research). *A Review of Asset-Backed Securities*. New York, NY: Salomon Brothers, Inc., Sept. 1987.
 [Note by Bruce MacMillan 10.24.08: This is a well-written overview of the history of asset-backed securities, with projections for the future. The document is of particular interesting in light of the current sub-prime/adjustable rate mortgage (ARM) based economic crisis (2007/2008).]

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 28 **FOLDER:** M.D. Youngblood, Ph.D. (Salomon Brothers, Inc.: Mortgage Research). *An Introduction to Sterling Mortgage-Backed Floating-Rate Notes*. New York, NY: Salomon Brothers, Inc., Sept. 1987.
 [Note by Bruce MacMillan 10.24.08: This is a well-written overview of the history of mortgages and mortgage-backed securities, with projections for the future. The document is of particular interesting in light of the current sub-prime/adjustable rate mortgage (ARM) based economic crisis (2007/2008).]
 [“...Introduced in March 1987, sterling floating-rate mortgage-backed notes combine the leading characteristics of U.S. mortgage pay-through securities and Eurodollar floating-rate notes (FRNs)...The composite nature of the mortgage-backed notes should provide the investor with stable money market returns as well as high credit quality...”]
- Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY.**
- 58 **FOLDER:** Edward I. Altman and H.Y. Izan. *Identifying Corporate Distress in Australia: An Industry Relative Analysis*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 273. Aug. 1982.
- 35 **FOLDER:** Edward I. Altman. *A Further Empirical Investigation of the Bankruptcy Cost Question*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 277. Nov. 1982.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 22 **FOLDER:** Edward I. Altman. *New Strategies in Bankruptcy Analysis: Manville Corporation and International Harvester*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 282. Feb. 1983.
- 66 **FOLDER:** Edward I. Altman. *Managing the Commercial Lending Process*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 296. July 1983.
- 26 **FOLDER:** Beniamino Andreatta. *Financial Policies and Economic Knots In Italy*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 306. Oct. 1983.
- 50 **FOLDER:** Halina Frydman, Edward I. Altman, and Duen-Li Kao. *Introducing Recursive Partitioning For Financial Classification: The Case of Financial Distress*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 309. Nov. 1983.
- 51 **FOLDER:** Prafulla Nabar, Richard C. Stapleton and Marti Subrahmanyam. *Default Risk, Resolution of Uncertainty and the Interest Rate on Corporate Loans*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 361. Oct. 1985.
- 30 **FOLDER:** G.P. Swego. *Bank Asset Management and Financial Insurance*. Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 366. Nov. 1985.
- 40 **FOLDER:** Francesco Piga. *The Italian Stock Markets and Its Regulation* (sic). Salomon Brothers Center for the Study of Financial Institutions. Graduate School of Business Admin., New York Univ., New York, NY. Working Paper Series. No. 410. Feb. 1987.

Harvard Institute of Economic Research, Harvard University, Cambridge, Mass.

- 31 **FOLDER:** Benjamin M. Friedman. *Who Puts The Inflation Premium Into Nominal Interest Rates?* Discussion Paper Series. Discussion Paper No. 600. Jan. 1978. Harvard Institute of Economic Research, Harvard Univ., Cambridge, Mass.
- 61 **FOLDER:** Benjamin M. Friedman. *Price Inflation, Portfolio Choice and Nominal Interest Rates*. Discussion Paper Series. Discussion Paper No. 603. Feb. 1978. Harvard Institute of Economic Research, Harvard Univ., Cambridge, Mass.

Center for Study of System Structure and Industrial Complexity, John Jay College, City University of New York, New York, NY.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 56 **FOLDER:** Peter S. Albin (Prof. of Economics, City University of New York, CUNY, New York, NY). *Job Design Within Changing Patterns of Technical Development*. Discussion Papers. Center for Study of System Structure and Industrial Complexity, John Jay College, City University of New York, New York, NY. April 1983. Draft. To appear as Chapter 6 in Eileen Collins (ed.) *Employment Implications of the Changing Industrial Base*.
- 54 **FOLDER:** Peter S. Albin (Prof. of Economics, City University of New York, CUNY, New York, NY), Charles Bahn et. al. *Worker Perceptions of Job Complexity*. Discussion Papers. Center for Study of System Structure and Industrial Complexity, John Jay College, City University of New York, New York, NY. June 1983.

Dept. of Economics and Business Admin., Vanderbilt University, Nashville, TN.

- 27 **FOLDER:** W.S. Jung. *Financial Development and Economic Growth: International Evidence*. Working Paper No. 83-W10. May 1983. Dept. of Economics and Business Admin., Vanderbilt University, Nashville, TN.
- 24 **FOLDER:** Andrea Maneschi. A Comparative Evaluation of Sraffa's "The Laws of Returns Under Competitive Conditions and Its' Italian Precursor 'Sulle Relazioni Fra Costo E Quantita Prodotta". Working Paper No. 83-W12. Presented at the Annual Conference of the History of Economics Society, May 1983. Dept. of Economics and Business Admin., Vanderbilt University, Nashville, TN.

Graduate School of Business, Stanford University, Palo Alto, Ca.

- 9 **FOLDER:** Prof. William S. Comanor and Prof. Harvey Leibenstein. *Allocative Efficiency, X-Efficiency and the Measurement of Welfare Losses*. Reprint Series No. 8. Graduate School of Business, Stanford University, Palo Alto, Ca. Reprinted from *Economica*, Aug. 1969, pp. 304-309.
- 29 **FOLDER:** Alexander A. Robichek (Prof. of Finance Graduate School of Business, Stanford Univ., Palo Alto, Ca). *Risk and the Value of Securities*. Reprint Series No. 20. Graduate School of Business, Stanford University, Palo Alto, Ca. Reprinted from *Journal of Financial and Quantitative Analysis*, Vol. IV, No. 4, Dec. 1969, pp. 513-538.
- 11 **FOLDER:** Alexander A. Robichek (Prof. of Finance Graduate School of Business, Stanford Univ., Palo Alto, Ca) and Richard A. Cohn (M.I.T., Harvard, Ma.). *The Economic Determinants of Systemic Risk*. Reprint Series No. 153. Graduate School of Business, Stanford University, Palo Alto, Ca. Reprinted from *The Journal of Finance*, Vol. XXIX, No. 2, May 1974, pp. 439-447.
- 14 **FOLDER:** Sanford J. Grossman and Joseph E. Stiglitz. *Information and Competitive Price Systems*. Reprint Series No. 216. Graduate School of Business, Stanford University, Palo Alto, Ca. Reprinted from *The American Economic Review*, Vol. 66, No. 2, May 1976, pp. 246-253.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

Institute for Mathematical Studies In The Social Science, Stanford University, Palo Alto, Ca.

- 92 **FOLDER:** Peter J. Hammond. General Asset Markets, Private Capital Formation and the Existence of Temporary Walrasian Equilibrium. Technical Report No. 394, Dec. 1982. Prepared Under National Science Foundation Grant SES-82-01372. The Economics Series. Institute for Mathematical Studies in the Social Sciences, Fourth Floor, Encina Hall, Stanford University, Palo Alto, Ca.

Jesse H. Jones Graduate School of Admin., Rice University, Houston, Tx

- 44 **FOLDER:** Edward Miller. *Keynes' Monetary Theory and Bank Reserves in Britain*. Working Paper No. 28. Dec. 9, 1982. Jesse H. Jones Graduate School of Admin., Rice University, Houston, Tx.
- 33 **FOLDER:** Edward Miller. *Keynesian Economics As A Translation Error: An Essay on Keynes' Financial Theory*. Working Paper No. 25. Jan. 1984. Jesse H. Jones Graduate School of Admin., Rice University, Houston, Tx.

Institute For Economic Research, Discussion Paper Series, Univ. of Washington, Dept. of Economics, Seattle, Wa.

- 40 **FOLDER:** Evan F. Koenig. *The Role of Money In Dynamic Macroeconomic Models Not Subject to Uncertainty*. Discussion Paper No. 85-2. First Draft Jan. 1985. Revised April 1985. Institute For Economic Research. Discussion Paper Series. Univ. of Washington, Dept. of Economics, Seattle, Wa.

Dept. of Higher Education in the College of Education. Southern Illinois University at Carbondale, Carbondale, Il

- 43 **FOLDER:** John B. Hawley (Prof. of Higher Education). *Gerald R. Mensch's Metamorphosis Model of Industrial Evolution, 1764-1975*. Oct. 1, 1981. Dept. of Higher Education in the College of Education. Southern Illinois University at Carbondale, Carbondale, Il.

Dept. of Economics, Miami University, Oxford, Oh.

HYMAN P. MINSKY COLLECTION: FOLDER LIST
The Levy Economics Institute of Bard College
Bruce MacMillan, Project Archivist
March 2009

- 17 **FOLDER:** William K. Hutchinson and Catherine Potter. *The Riefler-Burgess Doctrine and Monetary Policy, 1919-1939*. Working Paper No. 83-05. Jan. 1983. Dept. of Economics, Miami University, Oxford, Oh.

University of Maryland, Dept. of Economics and Bureau of Business and Economic Research, College Park, Md

- 36 **FOLDER:** Dudley Dillard. *Keynes and Marx*. Working Paper No. 83-5. University of Maryland, Dept. of Economics and Bureau of Business and Economic Research, College Park, Md. 1983.

Center for the Advancement of Economic Analysis. Baylor University. Hankamer School of Business, Waco, Tx

- 12 **FOLDER:** M. Ray Perryman. *Capitalist Financial Processes and the Instability of Capitalism: Comment*. Center for the Advancement of Economic Analysis. Baylor University. Hankamer School of Business, Waco, Tx. (Undated, post 1979)
 [Note by Bruce MacMillan 10.31.08: This report includes many references to publications on financial crises by Prof. Minsky.]

Dept. of Economics, University of California, Los Angeles

- 40 **FOLDER:** Sebastian Edwards. *LDC's Foreign Borrowing and Default Risk: An Empirical Investigation 1967-1980*. Dept. of Economics, University of California, Los Angeles. Working Paper #298. Jan. 1983. Revised June 1983.

Dept. of Economics, University of California, Los Angeles, Riverside, Ca

- 46 **FOLDER:** James S. Earley. *Money, Credit, and Financial Intermediation: The Need For a New "New View"*. Report No. 73. March 1984. Dept. of Economics, University of California, Los Angeles, Riverside, Ca.